

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

1127-156

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/787988

INTERNATIONAL APPLICATION NO.
PCT/DE99/00078INTERNATIONAL FILING DATE
15 January 1999PRIORITY DATE CLAIMED
22 September 1998

TITLE OF INVENTION

A METHOD FOR RECEIVING OR TRANSMITTING MESSAGES

APPLICANT(S) FOR DO/EO/US

Juergen Michel et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Submission of Drawings - Figs. 1-2 on two sheets

BOX PCT

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

APPLICANTS: Juergen Michel et al. DOCKET NO: 112740-156

SERIAL NO: GROUP ART UNIT:

EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/DE99/00078

INTERNATIONAL FILING DATE: 15 January 1999

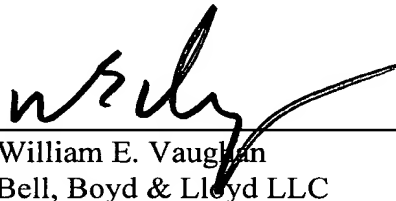
INVENTION: A METHOD FOR RECEIVING OR TRANSMITTING
MESSAGES

15 Assistant Commissioner for Patents,
Washington, D.C. 20231

SUBMISSION OF DRAWINGS

20 Applicants herewith submit two sheets (Figs. 1-2) of drawings for the
above-referenced PCT application.

Respectfully submitted,



(Reg. No. 39,056)

25

William E. Vaughan
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4292
Attorneys for Applicants

30

09/787988

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Juergen Michel et al.

Docket No.
JC08 Rec'd PCT/PTO 22 MAR 2001
112740-156

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **A METHOD FOR RECEIVING OR TRANSMITTING MESSAGES**

I hereby certify that the following correspondence:

Transmittal letter to the United States Designated/Elected Office in duplicate, International application as filed, English translation, Preliminary Amendment, Submission of Drawings - Figs. 1-2 on two sheets, search report, filing fee \$860.00, postcard (see attached envelope for executed assignment and fee)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 22, 2001*(Date)*Julie Alonzo*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL572436496US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**